

PATENT

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Docket No. **3221**22386 U.S. PTO
10/689266

NEW APPLICATION TRANSMITTAL

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 2, 2003 "Express Mail Post Office to Addressee" Mailing Label Number EU381348511US in an envelope addressed to the: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nancy S. Dedek

(Name of person mailing paper)

(Signature of person mailing paper)

Inventor(s): John J. Mullay, Jeffrey M. Carey, James H. Bush and Stuart L. Bartley

Title: NOVEL OIL-IN-WATER EMULSIFIERS

1. Type of Application

☒ Original☐ Divisional☐ Continuation☐ Continuation-in-part (CIP)

2. Fee Calculation (37 CFR 1.16)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CLAIMS AS FILED

| Number Filed | Number Extra | Rate | Basic Fee 37 CFR 1.16(a) \$770.00 |
|---|--------------|----------|---|
| Total Claims (37 CFR 1.16(c)) 20 - 20 = | 4 X | \$18.00 | 0 |
| Independent Claims (37 CFR 1.16(b)) 2 - 3 = | 0 X | \$86.00 | 0 |
| Multiple dependent claim(s), if any (37 CFR 1.16(d)) | None | \$290.00 | 0 |

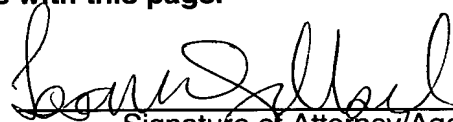
☐ Amendment cancelling extra claims enclosed.

3. Fee Payment Being Made and Authorization to Charge Fees

☒ basic filing fee \$ 770.00☒ recording assignment \$ 40.00
(\$40.00; 37 CFR 1.21(h))☒ Charge Account No. 12-2275 in the amount of \$ 810.00. A duplicate of this transmittal is attached.

4. Papers Enclosed Which Are Required For Filing Data Under 37 CFR 1.53(b) Application23 Pages of specification1 Pages of Abstract4 Pages of claims0 Sheets of drawing**5. Additional papers enclosed**☐ Preliminary Amendment☒ Cited References☒ Information Disclosure Statement☐ Other☒ FORM PTO-1449☒ Return postcard receipt☐ Incorporation by reference statement of previous appln.**6. Declaration or oath**☒ Newly executed and enclosed☐ Unexecuted and enclosed☐ Copy from prior application (for continuation/divisional)**7. Assignment**☒ An assignment of the invention to The Lubrizol Corporation, a corporation of the State of Ohio, 29400 Lakeland Boulevard, Wickliffe, Ohio 44092-2298, is attached.☒ A separate "Cover Sheet for Assignment (Document) Accompanying New Patent Application" is attached.**8. Authorization to Charge Additional Fees**☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-2275:☒ 37 CFR 1.16(a)-(e)☒ 37 CFR 1.17 (application processing fees)☒ charge any deficiency in the above fee or credit any overpayment to Deposit Account No. 12-2275Total fees enclosed \$ 810.00**9. Benefit of Prior U.S. Application(s) (35 USC 120)**☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed find an additional ____ Added Page for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed☐ Plus an Additional ____ Added Pages For Papers Referred To In Item 5 Above☒ This transmittal ends with this page.

Reg. No. 31,360

Telephone No. 440-347-5072
Facsimile No. 440-347-1110

Signature of Attorney/Agent_____
Teresan W. Gilbert
Type or print name of AttorneyThe Lubrizol Corporation
29400 Lakeland Boulevard
Wickliffe, Ohio 44092-2298